

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U24297MH2020PLC338592

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AALCP0909M

(ii) (a) Name of the company

PIRAMAL PHARMA LIMITED

(b) Registered office address

Gr. Flr., Piramal Ananta, Agastya Corp.
Park, Kamani Junction, LBS Marg, Kurla
MUMBAI
Mumbai City
Maharashtra
400070

(c) *e-mail ID of the company

corporate.secretarial@piramal.

(d) *Telephone number with STD code

+912238023000

(e) Website

(iii) Date of Incorporation

04/03/2020

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes

☐ No

(vi) *Whether shares listed on recognized Stock Exchange(s)

☐ Yes

☒ No

(b) CIN of the Registrar and Transfer Agent

U72400MH2004PLC147094

Pre-fill

Name of the Registrar and Transfer Agent

NSDL DATABASE MANAGEMENT LIMITED

Registered office address of the Registrar and Transfer Agents

4TH FLOOR TRADE WORLD A WINGKAMLA MILLS COMPOUND
LOWER PAREL (W)

(vii) *Financial year From date 01/04/2021 (DD/MM/YYYY) To date 31/03/2022 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM 28/07/2022

(b) Due date of AGM 30/09/2022

(c) Whether any extension for AGM granted ☐ Yes ☒ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C6	Chemical and chemical products, pharmaceuticals, medicinal chemical and	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 22

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	PIRAMAL ENTERPRISES LIMITED	L24110MH1947PLC005719	Holding	80
2	Piramal Pharma Inc.		Subsidiary	100
3	Piramal Healthcare Inc.		Subsidiary	100
4	Piramal Critical Care Limited		Subsidiary	100
5	Piramal Healthcare UK Limited		Subsidiary	100
6	Piramal Healthcare Pension Trust		Subsidiary	100
7	Piramal Healthcare (Canada) Limited		Subsidiary	100

8	Piramal Critical Care Italia, SPA		Subsidiary	100
9	Piramal Critical Care Inc.		Subsidiary	100
10	Piramal Dutch Holdings N.V.		Subsidiary	100
11	Piramal Critical Care Deutschland		Subsidiary	100
12	Piramal Pharma Solutions Inc.		Subsidiary	100
13	Piramal Critical Care South Africa		Subsidiary	100
14	Ash Stevens LLC		Subsidiary	100
15	PEL Pharma Inc.		Subsidiary	100
16	Piramal Critical Care B.V.		Subsidiary	100
17	Piramal Pharma Solutions (Dutch)		Subsidiary	100
18	Piramal Critical Care Pty. Ltd.		Subsidiary	100
19	ALLERGAN INDIA PRIVATE LIMITED	U33201KA1994PTC023162	Associate	49
20	PEL Healthcare LLC		Subsidiary	100
21	Piramal Pharma Japan GK		Subsidiary	100
22	Yapan Bio Private Limited	U74110TG2019PTC136037	Associate	33.33

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) * SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	1,500,000,000	1,185,913,506	1,185,913,506	1,185,913,506
Total amount of equity shares (in Rupees)	15,000,000,000	11,859,135,060	11,859,135,060	11,859,135,060

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	1,500,000,000	1,185,913,506	1,185,913,506	1,185,913,506
Nominal value per share (in rupees)	10	10	10	10

Total amount of equity shares (in rupees)	15,000,000,000	11,859,135,060	11,859,135,060	11,859,135,060
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(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	100,000,000	0	0	0
Total amount of preference shares (in rupees)	1,000,000,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Preference shares				
Number of preference shares	100,000,000	0	0	0
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	1,000,000,000	0	0	0

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			
At the beginning of the year	0	994,602,064	994602064	9,946,020,6	9,946,020,6	
Increase during the year	0	191,311,442	191311442	1,913,114,4	1,913,114,4	6,533,543,15
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	177,665,757	177665757	1,776,657,5	1,776,657,5	
iv. Private Placement/ Preferential allotment	0	9,657,423	9657423	96,574,230	96,574,230	5,823,425,7
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	3,988,262	3988262	39,882,620	39,882,620	710,117,380
viii. Conversion of Debentures	0	0	0	0	0	0

ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify 0				0	0	
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify 0				0	0	
At the end of the year	0	1,185,913,5	1185913506	11,859,135,	11,859,135	
Preference shares						
At the beginning of the year	0	75,000,000	75000000	750,000,000	750,000,000	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify 0				0	0	
Decrease during the year	0	75,000,000	75000000	750,000,000	750,000,000	0
i. Redemption of shares	0	75,000,000	75000000	750,000,000	750,000,000	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify 0						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

INE0DK501011

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☒ Nil

[Details being provided in a CD/Digital Media]

☐

Yes

☐

No

☐

Not Applicable

Separate sheet attached for details of transfers

☐

Yes

☐

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<input type="text"/>	
Date of registration of transfer (Date Month Year)		<input type="text"/>	
Type of transfer	<input type="text"/>	1 - Equity, 2 - Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	2,000	1000000	2,000,000,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			2,000,000,000

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	2,000,000,000	0	2,000,000,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

30,949,500,000

(ii) Net worth of the Company

50,673,400,000

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	947,249,740	79.88	0	
10.	Others Nominee of Piramal Enterprises L	66	0	0	
	Total	947,249,806	79.88	0	0

Total number of shareholders (promoters)

7

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	238,663,700	20.12	0	
10.	Others	0	0	0	

	Total	238,663,700	20.12	0	0
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Total number of shareholders (other than promoters)

1

**Total number of shareholders (Promoters+Public/
Other than promoters)**

8

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	7	7
Members (other than promoters)	1	1
Debenture holders	0	1

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	2	1	3	0	0	0
B. Non-Promoter	0	3	0	5	0	0
(i) Non-Independent	0	1	0	1	0	0
(ii) Independent	0	2	0	4	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	2	4	3	5	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

10

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
NANDINI AJAY PIRAM	00286092	Whole-time director	11	
PETER D DEYOUNG	07152550	Whole-time director	11	
VIVEK VALSARAJ	06970246	Director appointed	11	
RAMADORAI SUBRAM	00000002	Director	0	
SRIDHAR GORTH	00035824	Additional director	0	
JAIRAJ MANOHAR PUI	00159886	Director	0	
NEERAJ BHARADWAJ	01314963	Director	0	
PETER ANDREW STEV	09544706	Additional director	0	
VIVEK VALSARAJ	ABKPV6515C	CFO	11	
TANYA SANISH	AQRPD4704H	Company Secretary	11	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

7

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Mr. Jairaj Purandare	00159886	Director	21/06/2021	Change in designation
Mr. Ramadorai Subram	00000002	Director	21/06/2021	Change in designation
Mr. Rajesh Laddha	02228042	Director	21/06/2021	Change in designation
Mr. Rajesh Laddha	02228042	Director	08/02/2022	Cessation
Mr. Vivek Valsaraj	06970246	Director appointed	09/02/2022	Appointment
Mr. Peter Stevenson	09544706	Additional director	30/03/2022	Appointment
Mr. Sridhar Gorthi	00035824	Additional director	30/03/2022	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

3

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	21/06/2021	8	5	100
Extra-Ordinary General Meeting	01/10/2021	8	5	100
Extra-Ordinary General Meeting	01/10/2021	8	5	100

B. BOARD MEETINGS

*Number of meetings held

10

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	11/05/2021	6	6	100
2	05/08/2021	6	6	100
3	01/10/2021	6	6	100
4	06/10/2021	6	5	83.33
5	07/10/2021	6	5	83.33
6	10/11/2021	6	6	100
7	29/11/2021	6	5	83.33
8	10/12/2021	6	5	83.33
9	08/02/2022	6	5	83.33
10	30/03/2022	6	5	83.33

C. COMMITTEE MEETINGS

Number of meetings held

16

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	11/05/2021	3	3	100
2	Audit Committee	05/08/2021	3	3	100
3	Audit Committee	17/09/2021	3	3	100

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
4	Audit Committee	01/10/2021	3	3	100
5	Audit Committee	06/10/2021	3	3	100
6	Audit Committee	10/11/2021	3	3	100
7	Audit Committee	29/11/2021	3	2	66.67
8	Audit Committee	10/12/2021	3	3	100
9	Audit Committee	08/02/2022	3	3	100
10	Audit Committee	30/03/2022	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	28/07/2022
								(Y/N/NA)
1	NANDINI AJA	10	10	100	6	6	100	No
2	PETER D DEY	10	7	70	0	0	0	No
3	VIVEK VALSA	1	1	100	2	2	100	Yes
4	RAMADORAI	10	10	100	14	14	100	No
5	SRIDHAR GO	1	1	100	0	0	0	Yes
6	JAIRAJ MANC	10	10	100	16	16	100	Yes
7	NEERAJ BHA	10	8	80	4	4	100	No
8	PETER ANDR	1	1	100	0	0	0	No

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	NANDINI AJAY PIR	Whole Time Dire	35,317,769	0	0	1,257,671	36,575,440
2	PETER D DEYOUN	Whole Time Dire	46,333,412	0	0	2,925,992	49,259,404
3	VIVEK VALSARAJ	Whole Time Dire	20,957,430	0	0	626,997	21,584,427
	Total		102,608,611	0	0	4,810,660	107,419,271

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Tanya Sanish	Company Secre	2,591,979	0	0	0	2,591,979
	Total		2,591,979	0	0	0	2,591,979

Number of other directors whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	RAMADORAI SUBF	Independent Dir	0	0	0	1,300,000	1,300,000
2	JAIRAJ MANOHAR	Independent Dir	0	0	0	1,450,000	1,450,000
3	SRIDHAR GORTHI	Independent Dir	0	0	0	50,000	50,000
4	PETER ANDREW S	Independent Dir	0	0	0	50,000	50,000
	Total		0	0	0	2,850,000	2,850,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☒ Yes ☐ No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Surendra U Kanstiya

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

1744

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ...

05

dated

10/09/2020

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

VIVEK
VALSARAJ
Digitally signed by
VIVEK VALSARAJ
Date: 2022.09.26
14:22:56 +05'30'

DIN of the director

06970246

To be digitally signed by

TANYA
SANISH
Digitally signed by
TANYA SANISH
Date: 2022.09.26
14:25:23 +05'30'

- ☒ Company Secretary
☐ Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach

Attach

Attach

Attach

List of attachments

PPL_SHP_31032022.pdf
PPL MGT-8.pdf
IX List of Committee meetings.pdf
PPL - Clarification letter.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company